

12-27-97

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PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 04860.P2207XD

Total Pages 3

First Named Inventor or Application Identifier Anne Jones, et al.

Express Mail Label No. EL 431 891 983 US

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D. C. 20231

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. X Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. X Specification (Total Pages 90)  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
3. X Drawings(s) (35 USC 113) (Total Sheets 14)
4. X Oath or Declaration (Total Pages 3 sets of 5 pages each [15 pgs total])
  - a.      Newly Executed (Original or Copy)
  - b. X Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed) (**Note Box 5 below**)
  - i.      DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5.      Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.      Microfiche Computer Program (Appendix)
7.      Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.      Computer Readable Copy
  - b.      Paper Copy (identical to computer copy)
  - c.      Statement verifying identity of above copies

12/23/99

jc559 U.S. PTO

09/471652

12/23/99

## ACCOMPANYING APPLICATION PARTS

8. \_\_\_\_\_ Assignment Papers (cover sheet & documents(s))
9. \_\_\_\_\_ a. 37 CFR 3.73(b) Statement (where there is an assignee)
- \_\_\_\_\_ b. Power of Attorney
10. \_\_\_\_\_ English Translation Document (if applicable)
11. \_\_\_\_\_ a. Information Disclosure Statement (IDS)/PTO-1449
- \_\_\_\_\_ b. Copies of IDS Citations
12.  X  Preliminary Amendment
13.  X  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
14. \_\_\_\_\_ a. Small Entity Statement(s)
- \_\_\_\_\_ b. Statement filed in prior application, Status still proper and desired
15. \_\_\_\_\_ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.  X  Other:  Copy of postcard with Express Mail Certificate of Mailing
- Submission of Formal Drawings transmittal with 14 sheets of formal drawings
- \_\_\_\_\_
- \_\_\_\_\_

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:
- \_\_\_\_\_ Continuation  X  Divisional \_\_\_\_\_ Continuation-in-part (CIP)
- of prior application No:  09/140,173 filed 8-25-98

### 18. Correspondence Address

\_\_\_\_\_ Customer Number or Bar Code Label \_\_\_\_\_

(Insert Customer No. or Attach Bar Code Label here)

or

X  Correspondence Address Below

NAME  James C. Scheller, Jr.

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS  12400 Wilshire Boulevard

Seventh Floor

CITY  Los Angeles  STATE  California  ZIP CODE  90025-1026

Country  U.S.A.  TELEPHONE  (408) 720-8598  FAX  (408) 720-9397

## FEE TRANSMITTAL FOR FY 1999

TOTAL AMOUNT OF PAYMENT (\$) 1,030.00

Complete if Known:

Application No. \_\_\_\_\_  
 Filing Date December 23, 1999  
 First Named Inventor Anne Jones, et al.  
 Group Art Unit \_\_\_\_\_  
 Examiner Name \_\_\_\_\_  
 Attorney Docket No. 04860.P2207XD

Jc559 U.S. PTO  
 09/471652  
 12/23/99

### METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666  
 Deposit Account Name \_\_\_\_\_

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. ☒ Payment Enclosed:  
☒ Check  
☐ Money Order  
☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

| Large Entity |          | Small Entity |          | Fee Description                    | Fee Paid         |
|--------------|----------|--------------|----------|------------------------------------|------------------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                                    |                  |
| 101          | 760      | 201          | 380      | Utility application filing fee     | <u>760.00</u>    |
| 106          | 310      | 206          | 155      | Design application filing fee      | _____            |
| 107          | 480      | 207          | 240      | Plant filing fee                   | _____            |
| 108          | 760      | 208          | 380      | Reissue filing fee                 | _____            |
| 114          | 150      | 214          | 75       | Provisional application filing fee | _____            |
| SUBTOTAL (1) |          |              |          |                                    | <u>\$ 760.00</u> |

#### 2. EXTRA CLAIM FEES

|  | Extra | Fee from below | Fee Paid |
|--|-------|----------------|----------|
| Total Claims <u>22</u> - 20 = <u>2</u> X <u>18</u> = <u>36.00</u>      |       |                |          |
| Independent Claims <u>6</u> - 3 = <u>3</u> X <u>78</u> = <u>234.00</u> |       |                |          |
| Multiple Dependent Claims <u>0</u> X <u>0</u> = <u>0</u>               |       |                |          |

| Large Entity |          | Small Entity |          | Fee Description   | Fee Paid         |
|--------------|----------|--------------|----------|---|------------------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |   |                  |
| 103          | 18       | 203          | 9        | Claims in excess of twenty                              | <u>36.</u>       |
| 102          | 78       | 202          | 39       | Independent claims in excess of 3                       | <u>234.</u>      |
| 104          | 260      | 204          | 130      | Multiple dependent claim                                | <u>0</u>         |
| 109          | 78       | 209          | 39       | Reissue independent claims over original patent         | _____            |
| 110          | 18       | 210          | 9        | Reissue claims in excess of 20 and over original patent | _____            |
| SUBTOTAL (2) |          |              |          |   | <u>\$ 270.00</u> |

06/08/99

- 1 -

PTO/SB/17 (12-98)

Patent fees are subject to annual revisions. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid.  
 See Forms PTO/SB/09-12

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| <u>Large Entity</u>       |                 | <u>Small Entity</u> |                 | <u>Fee Description</u>   | <u>Fee Paid</u> |
|---------------------------|-----------------|---------------------|-----------------|--|-----------------|
| <u>Fee Code</u>           | <u>Fee (\$)</u> | <u>Fee Code</u>     | <u>Fee (\$)</u> |  |                 |
| 105                       | 130             | 205                 | 65              | Surcharge - late filing fee or oath  | _____           |
| 127                       | 50              | 227                 | 25              | Surcharge - late provisional filing fee or cover sheet                     | _____           |
| 139                       | 130             | 139                 | 130             | Non-English specification  | _____           |
| 147                       | 2,520           | 147                 | 2,520           | For filing a request for reexamination                                     | _____           |
| 112                       | 920*            | 112                 | 920*            | Requesting publication of SIR prior to Examiner action                     | _____           |
| 113                       | 1,840*          | 113                 | 1,840*          | Requesting publication of SIR after Examiner action                        | _____           |
| 115                       | 110             | 215                 | 55              | Extension for response within first month                                  | _____           |
| 116                       | 380             | 216                 | 190             | Extension for response within second month                                 | _____           |
| 117                       | 870             | 217                 | 435             | Extension for response within third month                                  | _____           |
| 118                       | 1,360           | 218                 | 680             | Extension for response within fourth month                                 | _____           |
| 128                       | 1,850           | 228                 | 925             | Extension for response within fifth month                                  | _____           |
| 119                       | 300             | 219                 | 150             | Notice of Appeal   | _____           |
| 120                       | 300             | 220                 | 150             | Filing a brief in support of an appeal                                     | _____           |
| 121                       | 260             | 221                 | 130             | Request for oral hearing   | _____           |
| 138                       | 1,510           | 138                 | 1,510           | Petition to institute a public use proceeding                              | _____           |
| 140                       | 110             | 240                 | 55              | Petition to revive unavoidably abandoned application                       | _____           |
| 141                       | 1,210           | 241                 | 605             | Petition to revive unintentionally abandoned application                   | _____           |
| 142                       | 1,210           | 242                 | 605             | Utility issue fee (or reissue)   | _____           |
| 143                       | 430             | 243                 | 215             | Design issue fee   | _____           |
| 144                       | 580             | 244                 | 290             | Plant issue fee  | _____           |
| 122                       | 130             | 122                 | 130             | Petitions to the Commissioner  | _____           |
| 123                       | 50              | 123                 | 50              | Petitions related to provisional applications                              | _____           |
| 126                       | 240             | 126                 | 240             | Submission of Information Disclosure Stmt                                  | _____           |
| 581                       | 40              | 581                 | 40              | Recording each patent assignment per property (times number of properties) | _____           |
| 146                       | 760             | 246                 | 380             | For filing a submission after final rejection (see 37 CFR 1.129(a))        | _____           |
| 149                       | 760             | 249                 | 380             | For each additional invention to be examined (see 37 CFR 1.129(a))         | _____           |
| Other fee (specify) _____ |                 |                     |                 |  | _____           |
| Other fee (specify) _____ |                 |                     |                 |  | _____           |

**SUBTOTAL (3)\$** 0

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**Typed or Printed Name: James C. Scheller, Jr.Signature  Date 12/23/99Reg. Number 31,195 Deposit Account User ID \_\_\_\_\_

(complete if applicable)

# EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL 431 891 983 45  
Date of Deposit: DECEMBER 23, 1999

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

CONNIE THAYER  
(Typed or printed name of person mailing paper or fee)

Connie Thayer  
(Signature of person mailing paper or fee)

12-23-99  
(Date signed)

Serial/Patent No.: \*\*\* Filing/Issue Date: December 23, 1999  
Client: Apple Computer, Inc.  
Title: METHOD AND APPARATUS FOR MEDIA DATA TRANSMISSION

BSTZ File No.: 04860.P2207XD Atty/Secty Initials: JCS/clt  
Date Mailed: 12-23-99 Docket Due Date: \*\*\*

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- |  |  |  |
|--|--|--|
| <input type="checkbox"/> Amendment/Response (____ pgs.)  | <input checked="" type="checkbox"/> Express Mail No. <u>EL431891983US</u>            | <input checked="" type="checkbox"/> Check No. <u>32427</u> |
| <input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate)                              | <input type="checkbox"/> _____ Month(s) Extension of Time                            | <input type="checkbox"/> Amt. <u>\$1030.00</u>             |
| <input type="checkbox"/> Application - Utility (____ pgs., with cover and abstract)            | <input type="checkbox"/> Information Disclosure Statement & PTO 1449 (____ pgs.)     | <input type="checkbox"/> Check No. _____                   |
| <input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.)                   | <input type="checkbox"/> Issue Fee Transmittal                                       | <input type="checkbox"/> Amt. _____                        |
| <input checked="" type="checkbox"/> Application - Rule 1.53(b) Divisional ( <u>3</u> pgs.)     | <input type="checkbox"/> Notice of Appeal  |  |
| <input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.)                            | <input type="checkbox"/> Petition for Extension of Time                              |  |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.)                | <input type="checkbox"/> Petition for _____  |  |
| <input type="checkbox"/> Application - Design (____ pgs.)                                      | <input checked="" type="checkbox"/> Postcard   |  |
| <input type="checkbox"/> Application - PCT (____ pgs.)   | <input type="checkbox"/> Power of Attorney (____ pgs.)                               |  |
| <input type="checkbox"/> Application - Provisional (____ pgs.)                                 | <input checked="" type="checkbox"/> Preliminary Amendment ( <u>10</u> pgs.)          |  |
| <input type="checkbox"/> Assignment and Cover Sheet  | <input type="checkbox"/> Reply Brief (____ pgs.)                                     |  |
| <input checked="" type="checkbox"/> Certificate of Mailing                                     | <input type="checkbox"/> Response to Notice of Missing Parts                         |  |
| <input checked="" type="checkbox"/> Declaration & POA ( <u>15</u> pgs.) <u>3 sets of 5 pgs</u> | <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business |  |
| <input checked="" type="checkbox"/> Disclosure Docs & Copy of Invention Summary (____ pgs.)    | <input checked="" type="checkbox"/> Transmittal Letter, in duplicate                 |  |
| <input checked="" type="checkbox"/> Drawings: <u>14</u> # of sheets includes <u>15</u> figures | <input checked="" type="checkbox"/> Fee Transmittal, in duplicate <u>2</u> pages     |  |

Other copy of prior application serial no. 09/140,173 filed 8/25/98  
(90 pages) with signed declaration and drawings also  
Submission of Formal Drawings Transmittal (1 page)

#3 formal drawings  
3-20-00  
A Lyson

Docket No: 04860.P2207XD

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Anne Jones, et al.

Application No.:

Filing Date: December 23, 1999

For: METHOD AND APPARATUS FOR  
MEDIA DATA TRANSMISSION

Examiner: unassigned

Art Unit: unassigned

Asst. Commissioner for Patents  
Washington, D.C. 20231


SUBMISSION OF FORMAL DRAWINGS

Dear Sir:

Transmitted herewith is a complete set of formal drawings, comprising fourteen (14) sheets and fifteen (15) figures for the above-identified application.

Respectfully submitted,  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Date: 12/23, 1999

  
James C. Scheller, Jr.  
Reg. No. 31,195

12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, CA 90025-1026  
(408) 720-8598

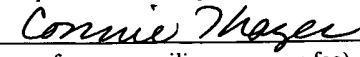
EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL 431 891 983 US

Date of Deposit: December 23, 1999

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Connie Thayer  
(Typed or printed name of person mailing paper or fee)

  
(Signature of person mailing paper or fee)

12-23-99  
(Date signed)